

A Minimal Prikry-type Forcing for Singularizing a Measurable Cardinal

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Introduction

- This talk introduces a minimal Prikry-type forcing, i.e., it has the typical properties of Prikry-type forcings while every generic extension by it has no proper intermediate models.
- There are lots of minimal forcings, like Sacks forcing or Laver forcing. Other forcings such as Cohen forcing are not minimal.
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Generic Extensions by the Classical Prikry Forcing

This work was inspired by the following result

Theorem (Gitik, Kanovei, Koepke, 2010)

Let $V[G]$ be a generic extension by classical Prikry forcing.

Then every intermediate model is a Prikry extension by this forcing and is generated by some subsequence of the associated Prikry sequence.

Moreover, the intermediate models of V and $V[G]$ ordered by inclusion are isomorphic to $\mathcal{P}(\omega)/\text{finite}$ ordered by almost inclusion.

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Outline

For the rest of the talk let κ be a measurable cardinal.

The classical Prikry forcing is equivalent to a Prikry tree forcing.
Inspired by this, we define the partial order $\mathbb{P}_\mathcal{U}$.

We obtain a standard Prikry lemma for $\mathbb{P}_\mathcal{U}$, which makes it worthy of being called a Prikry-type forcing.

The minimality of $\mathbb{P}_\mathcal{U}$ is a direct consequence of:

Theorem (Koepke, Schlicht, R., 2010)

Let $V[G]$ be a generic extension by $\mathbb{P}_\mathcal{U}$ where \mathcal{U} is sequence of pairwise distinct normal measures on κ .

Then for every $X \in V[G]$ either $X \in V$ or X generates the whole generic extension, i.e., $V[X] = V[G]$.

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Preliminaries

- Think of $u, v \in [\kappa]^{<\omega}$ as strictly increasing sequences of ordinals. By $u \triangleleft v$ we mean that u is an initial segment of v . Concatenation is denoted by the symbol \frown ; the restriction of the domain by \upharpoonright .
- A tree is a non-empty subset of $[\kappa]^{<\omega}$ which is closed under initial segments. $\text{Lev}_k(T)$ denotes the k -th level of T .
- We denote the minimal inner model of ZFC containing the set $X \subseteq V$ and incorporating V by $V[X]$.
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Reason: Every intermediate inner model $V \subseteq M \subseteq V[G]$ of ZFC is generated by a single set. Hence consider all sets of ordinals in $V[G]$ with the equivalence relation \equiv_V .

- Fix a sequence $\mathcal{U} = \langle U_\alpha : \alpha < \kappa \rangle$ of pairwise distinct normal measures on κ .

The consistency strength is

ZFC + “there exists a measurable cardinal”

by a theorem of Kunen and Paris.

For the minimality proof we will use a family $\langle A_\alpha : \alpha < \kappa \rangle$ of pairwise disjoint subsets of κ such that $A_\alpha \in U_\alpha$.

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Definition of a \mathcal{U} -Tree

Definition

A set $T \subseteq [\kappa]^{<\omega}$ is called \mathcal{U} -tree with trunk t if

- $\langle T, \triangleleft \rangle$ is a tree.
- $t \in T$ and for all $u \in T$ we have $u \triangleleft t$ or $t \triangleleft u$.
- For all $u \in T$ if $t \triangleleft u$ then

$$\text{Suc}_T(u) := \{ \xi < \kappa : u \hat{\ } \langle \xi \rangle \in T \} \in U_{\max(u)}.$$

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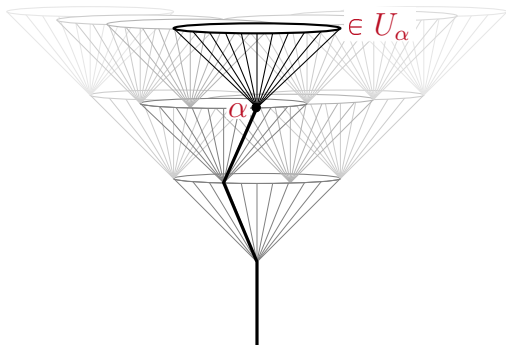
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An Image of a \mathcal{U} -Tree



Some Properties of \mathcal{U} -Trees

- Let $u \in T$, $u \triangleright t$. Then

$$T \upharpoonright u := \{v \in T : u \triangleleft v \vee v \triangleleft u\}$$

is a \mathcal{U} -tree with trunk u and $\langle t, T \rangle \geq \langle u, T \upharpoonright u \rangle$.

- The intersection of less than κ many \mathcal{U} -trees all having the same trunk t is again a \mathcal{U} -tree with trunk t .

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Furthermore for $\langle s, S \rangle, \langle t, T \rangle \in \mathbb{P}_{\mathcal{U}}$ define

$$\begin{aligned} \langle s, S \rangle &\leq \langle t, T \rangle && \text{if } S \subseteq T \\ \langle s, S \rangle &\leq^* \langle t, T \rangle && \text{if } S \subseteq T \text{ and } s = t \end{aligned}$$

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Colorings of \mathcal{U} -Trees

Lemma (Colorings of \mathcal{U} -trees)

Let T be a \mathcal{U} -tree and $c : T \rightarrow \lambda$ with $\lambda < \kappa$.

Then there is a \mathcal{U} -tree $\bar{T} \subseteq T$ with the same trunk homogeneous for c , i.e., every two elements of c on the same level get the same color.

Proof.

Straightforward induction. □

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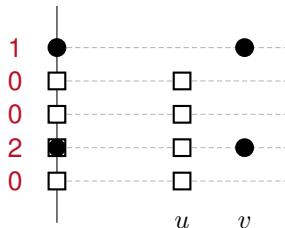
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First use the normality to define a diagonal intersection of \mathcal{U} -trees.
The proof itself is a quite technical induction with lots of case distinctions. □

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Forcing with $\mathbb{P}_{\mathcal{U}}$

- Classical Prikry tree forcing is $\mathbb{P}_{\mathcal{U}}$ when all U_α equal the same κ -complete nonprincipal ultrafilter U over κ .

- Let G be generic on $\mathbb{P}_{\mathcal{U}}$. As usual

$$f_G := \bigcup \{ t : \exists T \langle t, T \rangle \in G \}$$

is an ω -sequence cofinal in κ , called Prikry sequence.

- G consists of all \mathcal{U} -trees of which f_G is a branch, i.e., $f_G \equiv_V G$.
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Lemma (Prikry lemma)

Let $\langle t, T \rangle \in \mathbb{P}_{\mathcal{U}}$ and φ a statement in the forcing language.

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Proof.

Follows easily from the lemma about colorings of \mathcal{U} -trees. □

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The following theorem sums up what we achieved so far.

Theorem

Let G be a generic filter on $\mathbb{P}_{\mathcal{U}}$. Then in $V[G]$

- κ is singular with $\text{cf}(\kappa) = \aleph_0$.
- No bounded subsets of κ are added.
- All cardinals are preserved and also all cofinalities but κ 's.

The Theorem

Remember:

- $\mathcal{U} = \langle U_\alpha : \alpha < \kappa \rangle$ is a sequence of pairwise distinct normal measures on the measurable cardinal κ .
- $\langle A_\alpha : \alpha < \kappa \rangle$ is a family of pairwise disjoint subsets of κ such that $A_\alpha \in U_\alpha$.

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The Theorem

Remember:

- $\mathcal{U} = \langle U_\alpha : \alpha < \kappa \rangle$ is a sequence of pairwise distinct normal measures on the measurable cardinal κ .
- $\langle A_\alpha : \alpha < \kappa \rangle$ is a family of pairwise disjoint subsets of κ such that $A_\alpha \in U_\alpha$.

Theorem (Koepke, Schlicht, R., 2010)

Let $V[G]$ be a generic extension by $\mathbb{P}_{\mathcal{U}}$.

Then for every $X \in V[G]$ either $X \in V$ or $X \equiv_V f_G$.

Proof of the Theorem

Theorem (Koepeke, Schlicht, R., 2010)

Let $V[G]$ be a generic extension by \mathbb{P}_μ .

Then for every $X \in V[G]$ either $X \in V$ or $X \equiv_V f_G$.

The proof splits into two parts:

Part I . Subsets of κ in $V[G]$

Part II. Arbitrary sets of ordinals in $V[G]$

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Proof of the Theorem – Part I

Theorem (Part I)

Let $V[G]$ be a generic extension by $\mathbb{P}_{\mathcal{U}}$.

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We will use the lemma about graphs on \mathcal{U} -trees

Proof of the Theorem – Part I

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Lemma (Graphs on \mathcal{U} -trees)

Let T be a \mathcal{U} -tree and $c : T^2 \rightarrow \lambda$, $\lambda < \kappa$.

Then there is a \mathcal{U} -tree $\bar{T} \subseteq T$ with the same trunk such that the value of c only depends on the type of the arguments.

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Lemma

Let T be a \mathcal{U} -tree. Then there is $\bar{T} \subseteq T$ with the same trunk such that for all $u, v \in \bar{T}$ with $u(n) \neq v(n)$, we have $u(m) \neq v(m)$ for all $m \geq n$ in both domains.

Proof.

Simply restrict $\text{Suc}_T(u)$ to $A_{\max(u)}$ for all $u \in T$. □

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Sketch of the proof.

Let \dot{X} be a name for some $X \subseteq \kappa$ and $\langle t, T \rangle \in \mathbb{P}_\mu$.

Goal: Find $p \leq \langle t, T \rangle$ such that $p \Vdash (\dot{X} \in V \vee \dot{X} \equiv_V \dot{f})$.

By the Prikry lemma assume that for all $u \in T$ the condition $\langle u, T \upharpoonright u \rangle$ already decides \dot{X} up to $\max(u)$.

For $u \in T$ define $X_u := \{ \xi < \max(u) : \langle u, T \upharpoonright u \rangle \Vdash \xi \in \dot{X} \}$.

Consider $c : T \times T \rightarrow 2$, where

$$\langle u, v \rangle \mapsto 1 \quad \text{iff} \quad X_u \cap \max(v) = X_v \cap \max(u).$$

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Thin out T and obtain $\bar{T} \subseteq T$ such that

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- for all $u, v \in \bar{T}$ with $u(n) \neq v(n)$, we have $u(m) \neq v(m)$ for all $m \geq n$ in both domains.

Claim 1. Let $s \in \bar{T}$ and $n < \omega$. Then c is constant on the set

$$\langle \{u, v\} \in \text{Lev}_{|s|+n}(\bar{T} \upharpoonright s) \times \text{Lev}_{|s|+n}(\bar{T} \upharpoonright s) : u \upharpoonright |s| \neq v \upharpoonright |s| \rangle.$$

Proof. Later!

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Sketch of the proof (continued).

Claim 2. $\langle t, \bar{T} \rangle$ forces $\dot{X} \in V \vee \dot{X} \equiv_V f$.

Proof.

How to construct f_G from \dot{X}^G : Assume we know $s := f_G \upharpoonright m$.

Case 1. There is $n > 0$ such that the only value of c on $\{ \langle u, v \rangle \in \text{Lev}_{m+n}(\bar{T} \upharpoonright s) \times \text{Lev}_{m+n}(\bar{T} \upharpoonright s) : u(m) \neq v(m) \}$ is 0.

Then all $v \in \text{Lev}_{m+n}(\bar{T} \upharpoonright s)$ with $v(m) \neq f_G(m)$ satisfy $c(v, f_G \upharpoonright (m+n)) = 0$.

Hence $X_v \neq \dot{X}^G \cap \max(v)$. This uniquely determines $f_G(m)$.

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Case 1. ✓

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$\{\langle u, v \rangle \in \text{Lev}_{m+n}(\bar{T} \upharpoonright s) \times \text{Lev}_{m+n}(\bar{T} \upharpoonright s) : u(m) \neq v(m)\}$ is 1.

Then $X_{s \cap \langle \xi \rangle} = \dot{X}^G \cap \xi$ for all ξ , i.e., $\dot{X}^G = \bigcup_{\xi \in \text{Suc}_{\bar{T}}(s)} X_{s \cap \langle \xi \rangle} \in V$. \square

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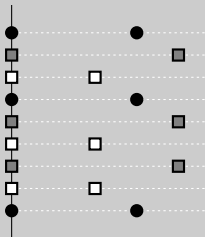
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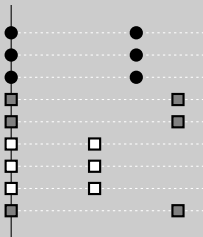
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Further Remarks

Now drop the assumption of normality. Then

- we still have a Prikry-type forcing,
- this forcing will not be minimal in general because of the Covering Theorem for $L[U]$,
- it is still possible to reduce the problem to subsets of κ .

Thanks for listening! 😊